

For Immediate Release

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## **Announcement of Registration of a Change for Type I Financial Instruments Business as per the Financial Instruments and Exchange Act**

IR Japan Holdings, Ltd. announces that its wholly owned subsidiary IR Japan, Inc. (“IR Japan”) has completed the registration of a change to expand the scope of its operations for the handling of Type I Financial Instruments Business as per the Financial Instruments and Exchange Act (the “Act”).

### **1. Details of the registration of a change**

IR Japan was registered as a Type I Financial Instruments Business Operator, as a stock transfer agent, in March 2005, and, with this registration of a change, is now able to engage in “the handling of a Public Offering or Secondary Distribution of Securities, or the handling of a Private Placement of Securities or exclusive Offer to Sell, etc. to Professional Investors” as per Article 2, Paragraph 8, Section 9 and “intermediation for the purchase and sale of securities” as per Article 2, Paragraph 8, Section 2 of the Act.

With regard to operations for “the handling of a Public Offering or Secondary Distribution of Securities, or the handling of a Private Placement of Securities or exclusive Offer to Sell, etc. to Professional Investors,” we intend to focus on arrangement operations for capital increases via third-party allocations. Specifically, we will be able to engage in placement agent operations on behalf of listed companies (issuers) for the arrangement of flexible capital procurement from existing institutional investors, operating companies, etc. Furthermore, we do not intend to engage in “underwriting or sales operations, or settlement, of capital increases via public offering, etc.” With regard to “intermediation for the purchase and sale of securities” operations, we envision arrangement operations for the sale of shares by existing major shareholders, etc.

### **2. Background of registration of a change and future outlook**

As Japan’s premier equity (stock voting right) consulting company, IR Japan has overwhelming expertise in equity voting rights and shareholder trends, and, building on this expertise, has a structure in place to provide seamless investment banking and stock transfer agency services, focusing on investor relations (IR) and shareholder relations (SR) consulting, financial advisory (FA), and proxy advisory (PA) services. With this registration of a change, we will add to these portfolio arrangement operations for capital policy-related financing, and make optimal use of our network of existing institutional shareholders built through our

surveys to identify actual shareholders, to provide services including the arrangement of placements to shareholders selected by the issuer, establishing a new, unrivaled level of consulting for issuers' capital policies.

Going forward, we will continue to use our corporate identity of the "Power of Equity" as an unwavering tool to accelerate the Group's growth even further.

In addition, at this time we see the effect of these changes on business results for the current fiscal year as being negligible.

End of release